



Southwest Securities, Inc. and/or Broker/Dealers for which it clears
Southwest Securities, Inc. Member NYSE/FINRA/SIPC

Rule 144 Sales Instructions & Form

It is your responsibility as a firm that clears through Southwest Securities, Inc. to do the following:

1. Send to the SEC.

- Send one original and two copies of Form 144 to:
U.S. Securities & Exchange Commission
450 Fifth Street NW
Washington, DC 20549

2. Send to the Attorney.

- Letter requesting an opinion
- One copy of 144 Form
- One copy of Seller's Letter
- One copy of the Broker/Dealer Statement

3. Send to Southwest Securities, Inc.

- One copy of 144 Form
- One copy of Seller's Letter
- One copy of Broker/Dealer Statement
- One copy of the Attorney's Opinion*
- Send items to:
Southwest Securities, Inc.
Attn: Stock Receipt Department
1201 Elm Street, Suite 3500
Dallas, TX 75270

* The transfer agents are requiring a copy of the opinion to be attached to the securities when they are presented to transfer. If transfer agents reject the request back to Southwest pending an opinion, the reject fee will be passed on.

4. Keep for Your Files.

- One copy of 144 Form
- One copy of Seller's letter
- One copy of Broker/Dealer Statement
- One copy of Letter to the Attorney
- One copy of the Attorney's opinion

5. 144 Form.

For a copy of the 144 Form, please see the SEC website at www.sec.gov or click the following link for easy access:

<http://www.sec.gov/about/forms/form144.pdf>

The websites above are not a part of Southwest Securities, Inc. and Southwest Securities, Inc. makes no guarantees of website availability or accuracy.