



**Southwest Securities, Inc. and/or Broker/Dealers for which it clears**  
 Southwest Securities, Inc. Member NYSE/FINRA/SIPC

**Request for Removal of Worthless Securities**

I am currently the owner of the following security (s), which are on deposit with you. I wish to eliminate the below position(s) from my account by selling the position(s) to Southwest Securities, Inc. at no value. Please affect my sale, which will generate a confirmation to me. I understand this action will be irreversible.

*1. Customer Information.*

\_\_\_\_\_  
 Customer/Account Name

\_\_\_\_\_  
 Account Number

*2. Security Information.*

Number of Shares	Symbol	Security Name/Description

*3. Signature(s).*

**By signing below, I/We affirm that the following conditions have been read, understood, and agreed to:**

- I/We agree to sell each security above for a sum totaling one cent (\$.01), which will generate a legal trade confirmation and is irreversible.
- I/We understand and acknowledge that as of the date set forth below, there is no available bid/market to sell these shares.
- I/We understand that Southwest Securities, Inc. will be the buyer in this transaction, and makes no representation regarding the value, if any, of the above mentioned securities.
- **I/We further agree to hold Southwest Securities, Inc. its directors, officers, employees, agents, subsidiaries, and assigns, harmless from, including but not limited to any and all claims, demands, losses, liabilities, attorney’s fees, or damages resulting from this sale.**

\_\_\_\_\_  
 Account Holder Printed Name

\_\_\_\_\_  
 Joint Account Holder Printed Name (if applicable)

**X** \_\_\_\_\_  
 Account Holder Signature Date

**X** \_\_\_\_\_  
 Joint Account Holder Signature (if applicable) Date